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EXAMINER				
NGUYEN BA, HOANG VU A				
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.

10/659,739

Applicant(s)

BESER, NURETTIN BURCAK

Examiner

Hoang-Vu A. Nguyen-Ba

Art Unit

2421

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 09 March 2010.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-41 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-41 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/CD)
Paper No(s)/Mail Date _____
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date _____
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: _____

DETAILED ACTION

1. This action is responsive to request for reconsideration filed March 9, 2010.
2. Claims 1-41 are pending. Claims 1, 9, 17, 22, 27, 30, 33, 37 and 41 are independent claims.

Response to Arguments

3. Applicant's arguments have been fully considered and are persuasive. New grounds of rejection are introduced herein.

Claim Rejections – 35 USC § 102

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. § 102 that form the basis for the rejection under this section made in this Office action:

A person shall be entitled to a patent unless –

(a) the invention was known or used by others in this country, or patented or described in a printed publication in this or foreign country, before the invention thereof by the applicant for patent.

5. Claims 1-16 and 27-40 are rejected under 35 U.S.C. § 102(a) as being anticipated by U.S. Patent Application Publication No. 2001/0055319 by Quigley et al. ("Quigley").

It should be noted that hereinafter the use of the clause "see at least" should be interpreted that the cited portions that follow the clause are not the only portions or descriptions of embodiments that are considered to be relevant. Should Applicant find that the cited portions are not relevant, other portions of the disclosure of the prior art reference will be provided as additional evidence and/or context to the relevancy of the previously cited portions. Since the evidence is from the same reference or same invention, the introduction of the additional evidence in response to Applicant's arguments should not therefore be considered to be that of new grounds of rejection.

Claim 1

Quigley discloses at least:

setting a modem to transmit on a first upstream channel on a first frequency using first transmission characteristics (see at least FIGs. 27, 29; e.g., downstream message

flow from the CMTS allocates upstream channel frequency and indicates modulation method, etc. for each cable modem—CM; FIG. 26 shows demodulators 700a-700n at the CMTS and [0318] indicates that the CMs associated with each demodulator 700a-700n are distinguished from those associated with a different demodulator via frequency division multiplexing—FDM—thus, this implies that the CMTS allocates different transmission frequency to different upstream channels via which the modems communicate with the CMTS; FIG. 31; [0133-0134]);

monitoring a quality of upstream transmission from the modem on the first upstream channel (see at least [0013]; FIGs. 27, 29, 31-33).

setting the modem to transmit on a second different upstream channel on a second different frequency using second transmission characteristics based on the monitored quality (see at least 27, 29, 31-33; e.g., [0340]).

Claim 9

Quigley discloses at least *a cable modem termination system* (see at least FIGs. 2, 79; e.g., CMTS Line Card), *comprising:*

a memory to store instructions (see at least FIGs. 2, 79; e.g., CMTS Line Card, it is noted that without a memory to store instructions, the CMTS Line Card would be inoperative);

a communication interface (see at least FIG. 41, Data Interface Ethernet or Internet) *to:*

receive transmissions comprising first transmission characteristics from a modem on a first upstream channel on a first frequency (see at least FIGs. 27, 29; e.g., downstream message flow from the CMTS allocates upstream channel frequency and indicates modulation method, etc. for each cable modem—CM; FIG. 26 shows demodulators 700a-700n at the CMTS and [0318] indicates that the CMs associated with each demodulator 700a-700n are distinguished from those associated with a different demodulator via frequency division multiplexing—FDM—thus, this implies that the CMTS allocates different transmission frequency to different

upstream channels via which the modems communicate with the CMTS; FIG. 31; [0133-0134]),
and

measure a quality of the received upstream transmissions from the modem (see at least [0013]; FIGs. 27, 29, 31-33); and

a processor to execute the instructions in the memory (see at least FIGs. 2, 79; e.g., CMTS Line Card, it is noted that without a CPU to execute the instructions stored in a memory, the CMTS Line Card would be inoperative) to:

monitor the measured quality of the received transmissions (see at least [0013]; FIGs. 27, 29, 31-33).

send a message, via the communication interface, instructing the modem to transmit on second different upstream channel on a second different frequency using second transmission characteristics based on the monitored quality (see at least [0013]; FIGs. 27, 29, 31-33; [0340]).

Claim 27

Quigley discloses *a method of changing transmission characteristics at a modem in a cable modem system, comprising:*

transmitting, by the modem, on a first channel on a first frequency (see at least FIGs. 27, 29; FIG. 26 shows demodulators 700a-700n at the CMTS and [0318] indicates that the CMs associated with each demodulator 700a-700n are distinguished from those associated with a different demodulator via frequency division multiplexing—FDM—thus, this implies that the CMTS allocates different transmission frequency to different upstream channels via which the modems communicate with the CMTS; FIG. 31; [0133-0134]);

receiving, by the modem, a command to select different upstream transmission characteristics (see at least FIGs. 27, 29; e.g., downstream message flow from the CMTS allocates upstream channel frequency and indicates modulation method, etc. for each cable modem--CM; FIG. 26 shows demodulators 700a-700n at the CMTS and [0318] indicates that the

CMs associated with each demodulator 700a-700n are distinguished from those associated with a different demodulator via frequency division multiplexing—FDM—thus, this implies that the CMTS allocates different transmission frequency to different upstream channels via which the modems communicate with the CMTS; FIG. 31; [0133-0134]);

selecting, by the modem, the different upstream transmission characteristics in accordance with the command (see at least FIGs. 27, 29; e.g., downstream message flow from the CMTS allocates upstream channel frequency and indicates modulation method, etc. for each cable modem—CM; FIG. 26 shows demodulators 700a-700n at the CMTS and [0318] indicates that the CMs associated with each demodulator 700a-700n are distinguished from those associated with a different demodulator via frequency division multiplexing—FDM—thus, this implies that the CMTS allocates different transmission frequency to different upstream channels via which the modems communicate with the CMTS; FIG. 31; [0133-0134]).

transmitting, by the modem, on a second different upstream channel on a second different frequency using different upstream transmission characteristics (see at least 27, 29, 31-33; e.g., [0340]).

Claim 30

Quigley discloses at least *a cable modem* (see at least FIG. 2, device 12).

Although, Mahesh does not explicitly show:

a memory to store instructions;

a communication interface to receive an instruction to select different upstream transmission characteristics; and

a processing unit.

However, these devices are deemed inherent to Quigley and well-known in the art (see FIG. 3 - Prior Art - of U.S. Patent No. 6,898,755 to Hou, same assignee with the instant application; it should be noted that Hou is not applied as a secondary art of record but is merely

used to show that the claimed features are admitted by applicant to be known in the art). Without these components, the cable modems of Quigley are inoperative.

Quigley further discloses the processing unit of the cable modem to:

transmit on a first upstream channel on a first frequency (see at least FIGs. 27, 29; FIG. 26 shows demodulators 700a-700n at the CMTS and [0318] indicates that the CMs associated with each demodulator 700a-700n are distinguished from those associated with a different demodulator via frequency division multiplexing—FDM—thus, this implies that the CMTS allocates different transmission frequency to different upstream channels via which the modems communicate with the CMTS; FIG. 31; [0133-0134]).

select the different upstream transmission characteristics in accordance with the instruction (see at least FIGs. 27, 29; e.g., downstream message flow from the CMTS allocates upstream channel frequency and indicates modulation method, etc. for each cable modem—CM; FIG. 26 shows demodulators 700a-700n at the CMTS and [0318] indicates that the CMs associated with each demodulator 700a-700n are distinguished from those associated with a different demodulator via frequency division multiplexing—FDM—thus, this implies that the CMTS allocates different transmission frequency to different upstream channels via which the modems communicate with the CMTS; FIG. 31; [0133-0134]).

initiate transmission on a second different upstream channel on a second different frequency using different upstream transmission characteristics (see at least 27, 29, 31-33; e.g., [0340]).

Claim 33

Quigley discloses at least *a method of changing virtual upstream channels in a cable modem system, comprising:*

monitoring upstream signal qualities associated with one or more cable modems (see at least FIGs. 27, 29).

selectively switching at least one of the one or more cable modems between different virtual upstream channels with different frequencies based on the signal quality monitoring (see at least FIGs. 27, 29, 31-33; [0340]).

Claim 37

Quigley discloses at least *a cable modem termination system* (see at least FIGs. 2, 79; e.g., CMTS Line Card), *comprising:*

a memory to store instructions (see at least FIGs. 2, 79; e.g., CMTS Line Card, it is noted that without a memory to store instructions, the CMTS Line Card would be inoperative);

a communication interface (see at least FIG. 41, Data Interface Ethernet or Internet) *to:*

measure signal qualities of upstream transmissions associated with one or more cable modems (see at least [0013]; FIGs. 27, 29, 31-33); *and*

a processor to execute the instructions in the memory (see at least FIGs. 2, 79; e.g., CMTS Line Card, it is noted that without a CPU to execute the instructions stored in a memory, the CMTS Line Card would be inoperative) *to:*

monitor the measured quality of the received transmissions (see at least [0013]; FIGs. 27, 29, 31-33).

selectively command at least one of the one or more cable modems to transmit on different virtual upstream channels on different frequencies based on the signal quality monitoring (see at least [0013]; FIGs. 27, 29, 31-33; [0340]).

Claims 2 and 10

The rejection of the respective base claim is incorporated. Quigley further discloses:

determining whether the quality of the modem upstream transmission is inadequate (see at least FIGs. 27, 29, 31-33); *and*

setting the second transmission characteristics to more robust transmission characteristics based on the determination (see at least FIGs. 27, 29, 31-33; [0312]; [0339-0340]; [0348]).

Claims 3 and 11

The rejection of the respective base claim is incorporated. Quigley further discloses:

determining whether the quality of the modem upstream transmissions is greater than a threshold (see at least [0015]; FIGs. 27, 29, 31-33; [0313-0314]); and
setting the second transmission characteristics to better performing transmission characteristics based on the determination (see at least FIGs. 27, 29, 31-33; [0312]; [0339-0340]; [0348]).

Claims 4 and 12

Rejections of the respective base claim and intervening claim are incorporated. Quigley further discloses *where the first transmission characteristics comprise one of 16 quadrature amplitude modulation (16QAM), 8QAM, 32QAM and 64 QAM, and the second transmission characteristics comprise quadrature phase shift keying (QPSK) modulation* (see at least [0321]; [0332-0333]; [0337]; [0340]; [0343-0345]; [0350]).

Claims 5, 13, 35, 36, 39 and 40

Rejections of the respective base claim and intervening claim are incorporated. Quigley further discloses *where the first transmission characteristics comprise quadrature phase shift keying (QPSK) modulation and the second transmission characteristics comprise at least one of 16 quadrature amplitude modulation (16QAM), 8QAM, 32QAM and 64QAM* (see at least [0321]; [0332-0333]; [0337]; [0340]; [0343-0345]; [0350]).

Claims 6 and 14

The rejection of the respective base claim is incorporated. Quigley further discloses *where the first upstream channel comprises a first time division of a first frequency* (see at least [0318]; [0114]; [0121-0124]; [0133-0134]; [0332]).

Claims 7 and 15

Rejections of the respective base claim and intervening claim are incorporated. Quigley further discloses *where the second upstream channel comprises a second time division of the first frequency* (see at least [0318]; [0340]; [0348]; [0353][0114]; [0121-0124]; [0133-0134]; [0332]).

Claims 8 and 16

The rejection of the respective base claim is incorporated. Quigley further discloses *where the quality comprises at least one of bit-error-rate and signal-to-noise ration* (see at least [0321]; [0324-0328]; [0333-0338]).

Claims 28, 31, 34 and 38

The rejection of the base claim is incorporated. Quigley further discloses *receiving a plurality of messages, each message describing different transmission characteristics* (see at least FIGs. 27, 29, 32-33; e.g., downstream message flow—flow implies more than one message, which is consistent with the monitoring process and dynamic channel allocation control flow, FIGs. 32-33).

Claims 29 and 32

The rejection of the base claim is incorporated. Quigley further discloses *where the command indicates the use of one of the plurality of messages for selecting different upstream transmission characteristics* (see at least FIGs. 27, 29, 32-33; e.g., downstream message flow—

flow implies more than one message, which is consistent with the monitoring process and dynamic channel allocation control flow, FIGs. 32-33).

6. Claims 17-19 and 21 are rejected under 35 U.S.C. § 103(a) as being unpatentable over U.S. Patent Application Publication No. 2001/0055319 by Quigley et al. ("Quigley") in view of U.S. Patent Application Publication No. 2003/0137966 by Odman et al. ("Odman").

Claim 17

Quigley discloses at least *a method of controlling transmission characteristics of cable modems, comprising:*

monitoring upstream transmission quality of one or more cable modems (see at least FIGs. 2-3); *and*

commanding at least one of the one or more cable modems to change its transmission characteristics based on the monitored quality (see at least FIGs. 27, 29, 31-33; [0312]; [0339-0340]; [0348]).

Quigley does not specifically disclose *changing from a first preamble length to a second different preamble length*.

However, in an analogous art, Odman teaches that the length of the preambles may be changed during bad media quality transmissions (see at least [0080-0082]) in order to optimize the synchronization of receivers with transmitter.

It would have been obvious to a person having ordinary skill in the art at the time the invention was made to use Odman in Quigley in order to optimize the synchronization of receivers with transmitter.

Claim 18

The rejection of base claim 17 is incorporated. Quigley-Odman further discloses *commanding the at least one of the one or more cable modems to transmit on a different upstream virtual channel based on the monitored quality* (Quigley; see at least [0340]).

Claim 19

The rejection of base claim 17 is incorporated. Quigley-Odman further discloses *where commanding at least one of the one or more modems to change its transmission characteristics comprises:*

commanding the at least one of the one or more modems to change its modulation based on the monitored quality (Quigley; see at least [0339]).

Claim 20

The rejections of the respective base claim and intervening claim are incorporated. Quigley-Odman further discloses *commanding the at least one of the one or more modems to change from quadrature phase shift keying (QPSK) modulation to at least one of 16 quadrature amplitude modulation (16QAM), 8 QAM, 32QAM and 64QAM* (Quigley; see at least [0321]; [0332-0333]; [0337]; [0340]; [0343-0345]; [0350]).

Claim 21

The rejection of the respective base claim is incorporated. Quigley-Odman further discloses *where the quality comprises at least one of bit-error-rate and signal-to-noise ration* (Quigley; see at least [0321]; [0324-0328]; [0333-0338]).

7. Claims 22-26 and 41 are rejected under 35 U.S.C. § 103(a) as being unpatentable over .S. Patent Application Publication No. 2001/0055319 by Quigley et al. ("Quigley") in view of U.S. Patent No. 7,039,939 to Millet et al. ("Millet").

Claim 22

Quigley discloses at least *a cable modem termination system* (see at least FIGs. 2, 79; e.g., CMTS Line Card), *comprising:*

a memory to store instructions (see at least FIGs. 2, 79; e.g., CMTS Line Card, it is noted that without a memory to store instructions, the CMTS Line Card would be inoperative); *and*

a processor to execute the executions in the memory (see at least FIGs. 2, 79; e.g., CMTS Line Card, it is noted that without a CPU to execute the instructions stored in a memory, the CMTS Line Card would be inoperative).

Quigley does not specifically disclose the remaining features of the claim.

However, in an analogous art, Millet discloses:

instruct at least one of the one or more cable modems to change its transmission characteristics, including changing from a first time division multiplexed timeslot size to a second different time division multiplexed timeslot size, when the monitored quality meets a specified criteria (see at least 11:17 - 12:35 and FIG. 7 and 14:67-15:51; in FIG. 7, lines 716 and 718 represent the time slot described in the MAP messages sent to the modems; as can be seen in the figure, the size or length of time slot represented by line 716 is different than that of the time slot represented by line 718).

It would have been obvious to a person of ordinary skill in the art at the time the invention was made to use Millet in combination with Quigley because the use of Millet would improve the quality of received upstream data at the headend and optimize the use of cable modem bandwidth in Quigley.

Claim 23

The rejection of base claim 17 is incorporated. Quigley-Millet further discloses *commanding the at least one of the one or more cable modems to transmit on a different*

upstream virtual channel when the monitored quality meets the specified criteria (see at least FIGs. 27, 29, 31-33; [0312]; [0339-0340]; [0348]).

Claim 24

The rejection of base claim 17 is incorporated. Quigley-Millet further discloses *where commanding at least one of the one or more modems to change its transmission characteristics comprises:*

commanding the at least one of the one or more modems to change its modulation when the monitored quality meets the specified criteria (Quigley; see at least FIGs. 27, 29, 31-33; [0312]; [0339-0340]; [0348]).

Claim 25

The rejections of the respective base claim and intervening claim are incorporated. Quigley-Millet further discloses *commanding the at least one of the one or more modems to change from quadrature phase shift keying (QPSK) modulation to at least one of 16 quadrature amplitude modulation (16QAM), 8 QAM, 32QAM and 64QAM* (Quigley; see at least [0321]; [0332-0333]; [0337]; [0340]; [0343-0345]; [0350]).

Claim 26

The rejection of the respective base claim is incorporated. Quigley-Millet further discloses *where the quality comprises at least one of bit-error-rate and signal-to-noise ration* (Quigley; see at least [0321]; [0324-0328]; [0333-0338]).

Claim 41

Quigley discloses at least *a system for controlling transmission characteristics of a cable modem* (see at least FIG. 2), *comprising:*

means for sending an upstream channel descriptor to one or more cable modems (see at least FIGs. 27, 29; e.g., downstream message flow from the CMTS allocates upstream channel frequency and indicates modulation method, etc. for each cable modem--CM);

means for monitoring upstream transmission quality of the one or more cable modems (see at least [0013]; FIGs. 27, 29, 31-33).

Quigley does not specifically disclose the remaining features of the claim.

However, in an analogous art, Millet discloses:

means for commanding at least one of the one or more cable modems to change its transmission characteristics, including changing from a first data block size to a second different data block size, based on the sent upstream channel descriptor and the monitored quality (see at least 11:17 - 12:35).

It would have been obvious to a person of ordinary skill in the art at the time the invention was made to use Millet in combination with Quigley because the use of Millet would improve the quality of received upstream data at the headend and optimize the use of cable modem bandwidth in Quigley.

Conclusion

8. The prior art made of record and not relied upon is considered pertinent to Applicant's disclosure.

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Hoang-Vu "Antony" Nguyen-Ba whose telephone number is (571) 272-3701. The examiner can normally be reached on Monday-Friday from 9:00 am to 5:30 pm.

If attempts to reach the examiner are unsuccessful, the examiner's supervisor, John Miller can be reached at (571) 272-7353.

The fax phone number for the organization where this application or proceeding is assigned is (571) 273-8300.

Any inquiry of a general nature or relating to the status of this application should be directed to the TC 2400 Group receptionist (571) 272-2400.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at (866) 217-9197 (toll-free).

/Hoang-Vu Antony Nguyen-Ba/
Primary Examiner, Art Unit 2421
June 4, 2010

